

Self-declaration of client/beneficial owner for the prevention of money-laundering and terrorism financing (Austrian Trade Act)

Request for personal data

I am conducting this business relationship with the Austrian Mint

- on my own account
- on account or on behalf of a third party

If you are conducting this business relationship on account or on behalf of a third party, please disclose all trustors (natural persons and legal entities):

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.....

In order to comply with legal requirements pertaining to § 365p (1) no. 2 in connection with no. 1 of the Austrian Trade Act (*Gewerbeordnung, GewO*), the client is required to provide documents relevant to determining the identity of the beneficial owners.¹

Request for "politically exposed person" (see attachment A for definition)

- I am a "politically exposed person" (statement of PEP status)
Internal comment: **Authorization by management required!**

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- I am not a "politically exposed person"

.....
Date

.....
Signature of client

¹ For natural persons: copy of valid photo identification of the trustee and a self-declaration of PEP status.

For legal entities: statement of all beneficiaries; to the extent they are natural persons, each of them shall provide a copy of valid photo identification and a self-declaration of PEP status.

Attachment A: Definition of "politically exposed persons" from §365n of the Austrian Trade Act 1994 (excerpt)

A **politically exposed person (PEP)** is a **natural person**, who exercises important public functions, or has done so; these include

- a) heads of state, heads of government, ministers, deputy ministers and state secretaries
- b) members of parliament or members of comparable legislative bodies
- c) members of the governing bodies of political parties
- d) members of supreme courts, constitutional courts or other high-level courts, the decisions of which cannot be subject to further appeal, except in extraordinary circumstances
- e) members of courts of audit or the management bodies of central banks
- f) ambassadors, chargés d'affaires and high-ranking officers in the armed forces
- g) members of the administrative, management and supervisory boards of state-owned enterprises
- h) directors, deputy directors and members of the board or an equivalent function at an international organization

No public function referred to in items a through h shall be understood as covering middle-ranking or more junior officials;

"Family members" are deemed to be

- a) the spouse of a politically exposed person or a person considered equivalent to the spouse of a politically exposed person
- b) the children of a politically exposed person and their spouses or persons considered equivalent to spouses
- c) the parents of a politically exposed person

"Persons known to be close associates" are deemed to be

- a) natural persons who are known to have joint beneficial ownership of legal entities or legal agreements or who have other close business relations with a politically exposed person
- b) natural persons who have sole beneficial ownership of a legal entity or legal agreement which is known to have been set up de facto for the benefit of a politically exposed person